FIGURE 1

10

35 — DEVICE   35 — DEVICE   35 — DEVICE   35 — DEVICE

33 — TERMINAL   33 — TERMINAL   33 — TERMINAL   33 — TERMINAL

33 — TERMINAL   33 — TERMINAL   33 — TERMINAL   33 — TERMINAL

37   37   37   37   24

ROUTER — 34   IDS — 32   FIREWALL — 28   SERVER — 30

26   26   26   26

EVENT MODULE — 22

38

EVENT DATA

14

20

COMPUTING DEVICE   12

MANAGEMENT MODULE 18

EVENT STORAGE MODULE

50

EVENT_CACHE

46

38

EVENT DATA

52

THREAT LEVEL DETERMINATION MODULE

48

DATABASE

EVENT DATA — 38

THREAT LEVEL DATA — 40

RULE(S) — 41

ALERT DATA — 42

54

EVENT READY CACHE

56

ARCHIVER

58

REPORTING MODULE

16

USER INTERFACE UNIT

62   44/45

GUI

THREAT REPORT/ PRESENTATION

EVENT DATA — 38

THREAT LEVEL DATA

ALERT DATA — 42

40

42

53

USER INTERFACE MODULE

60

OUTPUT UNIT — 19

47   49   51

FIGURE 2

SINGLE MANAGEMENT MODULE AND
MULTIPLE EVENT MODULES

FIGURE 3

SINGLE MANAGEMENT MODULE AND
REDUNDANT EVENT MODULES

FIGURE 4

FIGURE 5

24

36

CHECKPOINT OPSEC

REALSECURE CLI

SYSLOG

SNMP

OTHERS

74

CISCO

UNIX

WINDOWS

REAL SECURE

CISCO

OTHERS

26

26

COMPUTING DEVICE

EVENT MODULE

14

EVENT DATA PROCESSOR

64

EVENT DATABASE

EVENT DATA

38

66

EVENT SENDER

68

EVENT MODULE MANAGEMENT PROCESSOR

70

20

TO MANAGEMENT MODULE 18 OF COMPUTING DEVICE 12

FIGURE 6

18

MANAGEMENT MODULE

THREAT LEVEL DETERMINATION MODULE —52

EVENT STORAGE MODULE —46

REPORTING MODULE —58

USER INTERFACE MODULE —62

DATABASE —48

FIGURE 7

56

EVENT STORAGE MODULE —80

38

EVENT DATA

ARCHIVE ENGINE

DATABASE —48

FIGURE 8

THREAT LEVEL DETERMINATION MODULE

50

EVENT CACHE (IN MEMORY MODULE)

76

THREAT LEVEL PROCESSOR

78

RULE ENGINE

52

54

EVENT READY CACHE (IN MEMORY TABLE)

FIGURE 9

56

EVENT STORAGE MODULE —80

54

EVENT READY CACHE (IN MEMORY TABLE)

ARCHIVE ENGINE

DATABASE —48

FIGURE 10

DATABASE `48`

REPORTING MODULE `58`

REPORTS PROCESSOR `82`

USER INTERFACE UNIT `16`

FIGURE 11

`54` IN MEMORY TABLES

DATABASE `48`

USER INTERFACE MODULE `62`

INTERFACE PROCESSOR `84`

USER INTERFACE UNIT `16`

FIGURE 12

9/23

FIGURE 13

FIGURE 14

COMPUTING DEVICE

MEMORY

EVENT MODULE

EVENT DATA PROCESSOR — 64

EVENT DATABASE — 66

EVENT DATA — 38

— 22 — 144

EVENT SENDER — 68

EVENT MODULE MANAGEMENT PROCESSOR — 70

PROCESSOR — 142

148

INTERFACE UNIT — 146

INTERFACE UNIT — 149

— 37

— 20

12

FROM SENSOR DEVICE(S) 24

TO COMPUTING DEVICE 12

FIGURE 15

PROCESSING OF EVENT DATA BY EVENT MODULE

RECEIVE EVENT DATA FROM SENSOR(S) — S1

NORMALIZE EVENT DATA — S2

STORE NORMALIZED EVENT DATA IN MEMORY — S3

NO — TRANSMIT EVENT DATA? — S4

YES

TRANSMIT NORMALIZED EVENT DATA TO MANAGEMENT MODULE — S5

FIGURE 16

FROM STEP S3 OF FIG. 15

REQUEST SIGNAL RECEIVED FROM MANAGEMENT MODULE? — S4

NO → TO STEP S1 OF FIG. 15

YES ↓ TO STEP S5 OF FIG. 15

FIGURE 17

FROM STEP S3 OF FIG. 15

DETERMINED AMOUNT OF EVENT DATA STORED? — S4

NO → TO STEP S1 OF FIG. 15

YES ↓ TO STEP S5 OF FIG. 15

FIGURE 18

FROM STEP S3 OF FIG. 15

TIME PERIOD EXPIRED? — S4

NO → TO STEP S1 OF FIG. 15

YES ↓ TO STEP S5 OF FIG. 15

COMPUTING DEVICE

MEMORY

MANAGEMENT MODULE

| EVENT STORAGE MODULE | — 46 |

| THREAT LEVEL DETERMINATION MODULE | — 52 |

| REPORTING MODULE | — 58 |

| USER INTERFACE MODULE | — 62 |

— 18

DATABASE

| EVENT DATA | — 38 |

| THREAT LEVEL DATA | — 40 |

| RULE(S) | — 41 |

| ALERT DATA | — 42 |

— 48

— 152

CACHE

| EVENT DATA | — 38 |

— 50

CACHE

| THREAT LEVEL DATA | — 40 |

| ALERT DATA | — 42 |

— 54

| THREAT REPORT | — 44 |

| THREAT PRESENTATION | — 45 |

| PROCESSOR | — 150 |

158

| INTERFACE UNIT | — 154 |

| INTERFACE UNIT | —156 |

TO COMPUTING DEVICE 14   TO USER INTERFACE UNIT 16   12

FIGURE 20

RECEIVE EVENT DATA — S1

STORE EVENT DATA — S2

DETERMINE THREAT LEVEL DATA
FOR SOURCE AND/OR
DESTINATION ADDRESS — S3

READ RULE(S) — S4

APPLY RULE(S) TO THREAT
LEVEL DATA TO
GENERATE ALERT DATA IF ANY — S5

STORE THREAT LEVEL DATA AND
ALERT DATA IF ANY — S6

GENERATE THREAT REPORT
BASED ON THREAT LEVEL DATA,
EVENT DATA AND/OR ALERT DATA — S7

TRANSMIT THREAT REPORT
TO USER INTERFACE UNIT — S8

PRESENT THREAT REPORT — S9

GENERATE THREAT PRESENTATION
BASED ON THREAT LEVEL DATA,
EVENT DATA AND/OR ALERT DATA — S10

TRANSMIT THREAT PRESENTATION
TO USER INTERFACE UNIT — S11

PRESENT THREAT
PRESENTATION — S12

FIGURE 21

RECEIVE NORMALIZED EVENT DATA — S1

STORE NORMALIZED EVENT DATA IN MEMORY — S2

FIGURE 22

READ EVENT DATA — S1

CORRELATE EVENT DATA BY SOURCE AND/OR DESTINATION ADDRESS — S2

DETERMINE ATOMIC THREAT LEVEL DATA FOR SOURCE AND/OR DESTINATION ADDRESS — S3

DETERMINE COMPOUND THREAT LEVEL FOR SOURCE AND/OR DESTINATION ADDRESS — S4

READ BUSINESS LOGIC DATA FROM MEMORY — S5

APPLY RULE(S) TO ATOMIC AND COMPOUND THREAT LEVEL DATA TO GENERATE ALERT DATA IF ANY — S6

STORE ATOMIC AND COMPOUND THREAT LEVEL DATA AND ALERT DATA — S7

FIGURE 23

READ ATOMIC AND COMPOUND
THREAT LEVEL DATA
AND ALERT DATA — S1

↓

STORE ATOMIC AND COMPOUND
THREAT LEVEL DATA AND
ALERT DATA IN MEMORY — S2

FIGURE 24

READ ATOMIC AND/OR
COMPOUND THREAT LEVEL DATA
AND ALERT DATA — S1

↓

GENERATE THREAT REPORT
BASED ON ATOMIC AND/OR
COMPOUND THREAT LEVEL DATA
AND ALERT DATA — S2

↓

TRANSMIT THREAT REPORT
TO USER INTERFACE UNIT — S3

FIGURE 25

READ ATOMIC AND COMPOUND
THREAT LEVEL DATA AND
ALERT DATA — S1

↓

GENERATE THREAT PRESENTATION
BASED ON ATOMIC AND/OR
COMPOUND THREAT LEVEL DATA
AND/OR ALERT DATA — S2

↓

TRANSMIT PRESENTATION
TO USER INTERFACE UNIT — S3

ID	TIME	NAME	SENSOR_TYPE	PROTO	SRCIP	DSTIP	SRCPRT	DSTPRT
49953...	2001-11-26 1...	SF-10.0.0.1	Checkpoint Fi...	17	64.221.103...	64.221.103....	137	137
•	•	•	•	•	•	•	•	•
•	•	•	•	•	•	•	•	•
•	•	•	•	•	•	•	•	•

114 116 118 120 122 124 126 128 130

SRCTHREAT	DSTTHREAT	TYPE	INFO
80000....	80000....	reject	i/f_dire="inbound" i/f_mName="e...
•	•	•	•
•	•	•	•
•	•	•	•

136 138 132 134

40 38 38

FIGURE 26

FIGURE 27

USER INTERFACE UNIT

MEMORY —162

THREAT REPORT —44

THREAT PRESENTATION —45

CONTROL PROGRAM —174

160
PROCESSOR

173
INPUT UNIT

168

INTERFACE UNIT —164

PRESENTATION UNIT

DISPLAY UNIT

THREAT REPORT

EVENT DATA — 38

THREAT LEVEL DATA — 40   —44

ALERT DATA — 42

166—

THREAT PRESENTATION

EVENT DATA — 38

THREAT LEVEL DATA — 40   —45

ALERT DATA — 42

170

—172

OUTPUT UNIT

19

47

49

51

16

—42

TO EVENT MODULE 22

FIGURE 28

RECEIVE THREAT REPORT AND/OR
PRESENTATION DATA FROM
MANAGEMENT MODULE
OF COMPUTING DEVICE 14 — S1

STORE THREAT REPORT AND/OR
PRESENTATION DATA IN MEMORY — S2

GENERATE PRESENTATION BASED
ON THREAT REPORT DATA AND/OR
THREAT PRESENTATION DATA — S3

PROVIDE THREAT REPORT AND/OR
THREAT PRESENTATION DATA
TO OUTPUT UNIT — S4

FIGURE 29

COMPUTER-READABLE
STORAGE MEDIUM
————180

EVENT MODULE —22

FIGURE 30

COMPUTER-READABLE
STORAGE MEDIUM
————182

MANAGEMENT
MODULE —18

FIGURE 31

COMPUTER-READABLE
STORAGE MEDIUM
————184

THREAT REPORT/
PRESENTATION

EVENT DATA —38 ——44/45

THREAT LEVEL DATA

ALERT DATA 40

42

EXEMPLARY EVENT TYPES

ATTACKRESPONSES_403_FORBIDDEN

DDOS_MSTREAM_HANDLER_TO_CLIENT

FTP_BAD_LOGIN

MSSQL_WORM_PROPAGATION_ATTEMPT

SCAN_NMAP_TCP

— 186a

FIGURE 32A

EXEMPLARY EVENT TYPES

WEB:DOT-DOT

TCP-SWEEP

JOB:HOTJOBS

IIS:UNICODE

BACK-ORIFICE:SCAN

— 186b

FIGURE 32B

CALCULATIONS

190 <u>THREAT</u>

$T(H) = TW[H] * NB\text{-}TW[NB[H]]$

192 <u>SOURCE THREAT</u>

$ST(e) = T(e.src)$

194 <u>DESTINATION THREAT</u>

$DT(e) = T(e.dst)$

196 <u>VULNERABILITY</u>

$DT(e) = T(e.dst)$

198 <u>EVENT VALIDITY</u>

$EV(e) = VALIDITY[e.src][e.type]$

200 <u>EVENT SEVERITY</u>

$ES(e) = PRIORITY[e]$

202 <u>ATOMIC THREAT LEVEL</u>

$AT(e) = EV(e) * V(e) * ST(e) * ES(e)$

204 <u>HOST THREAT LEVEL</u>

$$\delta(e,H,t) = \begin{cases} 1 \text{ if } (e.src = H \text{ or } e.dst = H) \\ 0 \text{ otherwise} \end{cases}$$

$$HT(H,t) = \frac{\sum_{i=1}^{N} AT(e_i) * \delta(e_i,H,t)}{\sum_{i=1}^{N} \delta(e_i,H,t)}$$

206 <u>DIFFERENTIAL THREAT LEVEL</u>

$$DTL(H,T_1,T_1) = \frac{HT(H_1,T_1)}{HT(H_1,T_2)} * \frac{T_2}{T_1} \quad \text{WHERE } 0<T_1<T_2$$
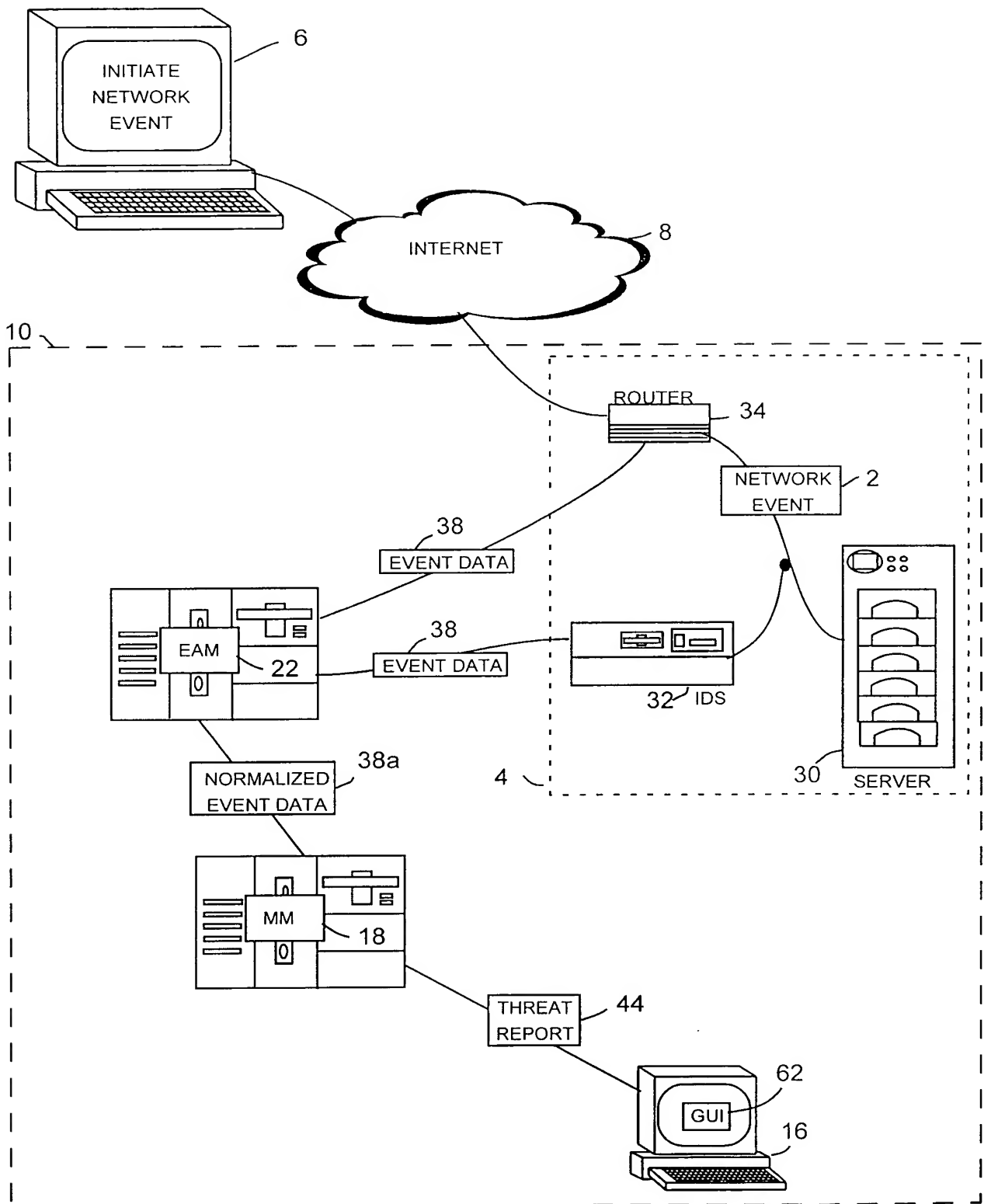
FIGURE 33

INITIATE NETWORK EVENT 6

INTERNET 8

10

ROUTER 34

NETWORK EVENT 2

38 EVENT DATA

38 EVENT DATA

EAM 22

32 IDS

30 SERVER

NORMALIZED EVENT DATA 38a

4

MM 18

THREAT REPORT 44

GUI 62
16

FIGURE 34